

**TIMKEN**  
**ENHANCED PROLIFERATION CONTROL INITIATIVE (EPCI) CERTIFICATION**

(To be reviewed, filed and retained with the appropriate compliance officer in your organization)

Export control and sanctions laws restrict Timken and other parties, including Timken's customers in both the United States and abroad, from exporting, reexporting, or transferring (including transfer to a foreign national, whether in the United States or abroad) products (including technologies such as blueprints, manuals, and other data) to certain entities and/or for certain uses, unless explicitly authorized by the U.S. Government. Thus, all purchases must be accompanied by this certification, which states that you, as the purchaser of Timken product(s), agree not to violate those restrictions throughout the life of the product(s).

**Buyer** understands that the product(s) and services supplied by Timken is/are subject to U.S. export control and sanctions laws and, thus, cannot be exported, reexported, transferred, or otherwise diverted unless permitted by such laws or previously authorized by the U.S. Government.<sup>i</sup> To comply with such laws, Buyer hereby agrees and certifies that unless prior approval from the U.S. Government has been obtained, it will:

1. Not allow Timken products or services to be used in missiles or weapons of mass destruction<sup>ii</sup>;
2. Not allow Timken products or services to be used in Chinese military applications<sup>iii</sup>;
3. Not allow Timken products or services to be used in embargo countries (Iran, N. Korea, Syria, Cuba, Sudan) or by persons or entities on any U.S. Government restricted list<sup>iv</sup>; and
4. If buying Timken aerospace or maritime products or services, not allow Timken product(s) or services to be used in a plane or vessel, when that plane or vessel is registered in a country listed in #3, above, when the person or entity owning, leasing or controlling the plane or vessel is a citizen of or incorporated in a country listed in #3, or when the plane or vessel is owned, leased or controlled by a person or entity on one of the restricted lists referred to in #3, above<sup>v</sup>.

Buyer certifies that it has read and understands the above restrictions. Buyer hereby understands and acknowledges that it is solely responsible to determine and verify whether the above conditions apply to a transaction, including whether a particular end use or end user falls within the scope of the above restrictions, and to obtain all necessary authorizations should any of the restrictions apply.

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<sup>i</sup> These laws include the Export Administration Regulations ("EAR") (Code of Federal Regulations ("CFR"), Title 15), as administered by the U.S. Department of Commerce, Bureau of Industry and Security ("BIS"), the International Traffic in Arms Regulations ("ITAR"), as administered by the U.S. Department of State, Directorate of Defense Trade Control ("DDTC"), and various economic sanctions regulations administered by the U.S. Department of Treasury, Office of Foreign Assets Control.

<sup>ii</sup> No Missiles or Weapons of Mass Destruction. Specifically, Buyer agrees and certifies that it will not, without prior U.S. Government approval, export, reexport, transfer or otherwise divert Timken product(s) to any country or entity if there is reason to know that such product(s) may be used for nuclear, missile, and chemical and biological activities, including the development, production, use, operation, or maintenance of technologies and facilities used for such activities, as prohibited and further detailed under Sections 744.2 to 744.5 of the EAR.

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iii No Timken products in Chinese military applications. Specifically, Buyer agrees and certifies that it will not, without prior U.S. Government approval, export, reexport, transfer or otherwise divert Timken product(s) to or for the People's Republic of China if there is reason to know that such that such product(s) may be intended, entirely or in part, for a military end use, as prohibited and further detailed under EAR Section 744.21;<sup>iii</sup>

iv No Timken products to Syria, Sudan, Iran, Cuba or North Korea. Specifically, Buyer agrees it will not, without prior U.S. Government approval export, reexport, transfer or otherwise divert Timken product(s) to individuals and entities:

- a. identified on BIS's Entity List (provided in Part 744, Supplement No. 4 of the EAR), as prohibited and further detailed under EAR Sections 744.10 to 744.11 and 744.20;
- b. identified pursuant to various Executive Orders issued by the U.S. Government, such as those imposing sanctions on Specially Designated Global Terrorists, Specially Designated Terrorists, Foreign Terrorist Organizations, Weapons of Mass Destruction Proliferators and their Supporters, former Iraqi regime members, individuals and entities providing support to the Government of Burma, as prohibited and further detailed under EAR Sections 744.8, 744.12 to 744.14, 744.18, and 744.22;
- c. identified in certain General Orders issued by BIS, as prohibited and further detailed under EAR Section 744.15

v No Timken products in aircraft or vessel of sanctioned person or country. Specifically, Buyer will not export, reexport, transfer or otherwise divert Timken product to an aircraft or vessel unless authorized by a license exception, or a provision not requiring a license, for: a) the country in which the aircraft or vessel is located, b) the country in which the aircraft or vessel is registered, AND c) the country of nationality of the individual or entity controlling, leasing, or chartering the aircraft or vessel, as prohibited and further detailed under EAR Section 744.7.

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